Notice At Collection For Applicants Residing In California

Five Prime Therapeutics, Inc. (the "Company") is providing you with this Notice At Collection For Applicants Residing In California ("Notice") to inform you about:

- 1. the categories of Personal Information that the Company collects about applicants for positions with the Company who reside in California; and
- 2. the purposes for which the Company uses that Personal Information.

For purposes of this Notice, "**Personal Information**" means information that identifies, relates to, describes, is capable of being associated with, or could reasonably be linked, directly or indirectly, with a natural person that the Company collects about its applicants who reside in California, in their capacity as applicants for positions with the Company.

Assistance For Disabled Applicants

Alternative formats of this Notice are available to individuals with a disability. Please contact **legal@fiveprime.com** for assistance.

1. Identifiers And Professional Or Employment-Related Information

1.1 **Personal Information Collected:** the Company collects identifiers and professional or employment-related information, including the following:

Identifiers: real name, nickname or alias, postal address, telephone number, e-mail address, signature, and Internet Protocol address.

Professional or Employment-Related Information: membership in professional organizations, professional certifications, and employment history.

1.2 **Purposes of Use:**

Recruiting:

- To evaluate applicants' qualifications for employment with or engagement by the Company
- To communicate with applicants
- To create a talent pool for future job openings
- For recordkeeping purposes
- To demonstrate applicants' agreement to, or acceptance of, documents presented to them, e.g., acknowledgement of employment application and offer letter
- To evaluate and improve the recruiting process

Monitoring, Security, And Compliance:

- To monitor use of and protect the safety and security of Company information systems and other electronic resources
- To safeguard the Company's proprietary information
- To report suspected criminal conduct to law enforcement and cooperate in investigations
- To conduct internal audits
- To conduct internal investigations
- To protect the safety and security of the Company's assets and facilities

2. Characteristics Of Protected Classifications Under California Or Federal Law

The Company collects information about race, age, national origin, disability, sex, and veteran status as necessary to comply with legal obligations, including the reporting requirements of the federal Equal Employment Opportunity Act, the federal Office of Contracting Compliance Programs (applicable to government contractors), and California's Fair Employment and Housing Act.

The Company also uses this Personal Information, including regarding disability and/or medical condition, as necessary, to comply with Federal and California law related to accommodation.

The Company collects this category of Personal Information on a purely voluntary basis, except where collection is required by law, and uses the information only in compliance with applicable laws and regulations.

3. Commercial Information

- 3.1 **Personal Information Collected:** The Company collects commercial information, including records of products or services purchased or obtained, including credit card or debit card numbers.
- 3.2 **Purposes of Use:** reimbursement of travel expenses.

4. Sensory Or Surveillance Data

- 4.1 **Personal Information Collected:** the Company collects sensory or surveillance data, including footage from video surveillance cameras.
- 4.2 **Purposes of Use:** to protect the safety and security of the Company's assets and facilities and personnel through video surveillance, for quality assurance, and to monitor compliance with Company policies and contractual obligations.

5. Background Screening Information

5.1 **Personal Information Collected:** The Company collects background screening information, including results of the following types of background screening: employment history and educational history.

5.2 **Purposes of Use:** to evaluate applicants' qualifications for employment with or other engagement by the Company.

Purposes Potentially Applicable To Any Of The Categories Of Personal Information Listed Above

The Company also may use applicants' Personal Information to facilitate administrative functions and information technology operations and for legal reasons and corporate transactions. These functions include, but are not limited to, the following:

- to manage and operate information technology and communications systems, risk management and insurance functions, budgeting, financial management and reporting, and strategic planning;
- to manage litigation involving the Company, and other legal disputes, investigations and inquiries and to meet legal and regulatory requirements;
- in connection with a corporate transaction, sale, or assignment of assets, merger, divestiture, or other changes of control or financial status of the Company or any of its subsidiaries or affiliates; and
- to manage licenses, permits and authorizations applicable to the Company's business operations.